

Investor Complaints Data of Ashlar Securities Pvt. Ltd. including all Exchanges and Depository

Annexure – B

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites on every month ending as per SEBI circular no SEBI/HO/MIRSD/DOP/P/CIR/2021/676 format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites.

For the month - April 2024

| S N | Received from | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of the month** | | Average Resolution time ^ (in days) |
|-----|-------------------------|-------------------------------------|---------------------------|---------------|-----------|-----------------------------------|------------------------------|-------------------------------------|
| | | | | | | Pending for less than months | Pending for more than months | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | | 8 |
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | | 0 |
| 2 | SEBI (SCORES) | 1 | 0 | 0 | 0 | 1 | | 0 |
| 3 | Stock Exchanges | 0 | 0 | 0 | 0 | 0 | | 0 |
| 4 | Depository | 0 | 0 | 0 | 0 | 0 | | 0 |
| 5 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | | 0 |
| 6 | Grand Total | 1 | 0 | 0 | 0 | 1 | | 0 |

Trend of monthly disposal of complaints

2024-25

| SN | Month | Broker | | | | Depository | | | |
|----|--------|-------------------------------------|----------|-----------|-----------|-------------------------------------|----------|-----------|-----------|
| | | Carried forward from previous month | Received | Resolved* | Pending** | Carried forward from previous month | Received | Resolved* | Pending** |
| 1 | Apr-24 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | May-24 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Jun-24 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Jul-24 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Aug-24 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 6 | Sep-24 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 7 | Oct-24 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 8 | Nov-24 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 9 | Dec-24 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10 | Jan-25 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 11 | Feb-25 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 12 | Mar-25 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | | | 0 | 0 | 1 | | 0 | 0 | 0 |

Trend of annual disposal of complaints

| SN | F.Y. Year (April-March) | Broker | | | | Depository | | | |
|----|-------------------------|--|--------------------------|--------------------------|--------------------------------|--|--------------------------|--------------------------|--------------------------------|
| | | Carried forward from previous year from previous month | Received during the year | Resolved during the year | Pending at the end of the year | Carried forward from previous year from previous month | Received during the year | Resolved during the year | Pending at the end of the year |
| 1 | 2020-21 | 0 | 4 | 4 | 0 | 0 | 0 | 0 | 0 |
| 2 | 2021-22 | 0 | 11 | 9 | 2 | 0 | 0 | 0 | 0 |
| 3 | 2022-23 | 2 | 9 | 11 | 0 | 0 | 2 | 2 | 0 |
| 4 | 2023-24 | 0 | 19 | 19 | 1 | 0 | 0 | 0 | 0 |
| 5 | 2024-25 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |